## JC03 Rec'd PCT/PTO 2 0 0CT 2009

FORM PTO-1390 U.S. DE	PARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER								
(REV 10-94)	8279.974USWO									
TRANSMITTAL LETTER	0277.77400110									
DESIGNATED/ELECTE										
CONCERNING A FILIN	G UNDER 35 U.S.C. 371									
	U.S. APPLICATION NO. (ICKnown, see 37 C.F.R. 1.5) Unknown 10/553943									
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED								
PCT/JP2004/005865	23 April 2004	24 April 2003								
TITLE OF INVENTION										
NOVEL SUBSTANCE HAVING ALPHA-GLUCOSIDASE INHIBITING ACTIVITY AND FOOD CONTAINING THE SAME										
APPLICANT(S) FOR DO/EO/US										
ASADA et al.										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. [X] This is a FIRST submission of items con This is a SECOND or SUBSEQUE.		35 U.S.C. 371.								
<ol> <li>This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>[X] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay</li> </ol>										
examination until the expiration of the ap	plicable time limit set in 35 U.S.C. 371(b) and PC7	Articles 22 and 39(1).								
4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.										
5. [X] A copy of the International Application a										
	uired only if not transmitted by the International B	ureau).								
b. [X] has been transmitted by the Inte	mational Bureau.	ice (RO/US)								
c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US)  6. [X] A translation of the International Application into English (35 U.S.C. 371(c)(2)).										
7 [V] Amondments to the claims of the Internat	ional Application under PCT Article 10 (35 II S C	371(c)(3))								
7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau).										
b. [ ] have been transmitted by the International Bureau.										
c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.										
d. [X] have not been made and will no	t be made.									
8. [ ] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).										
9. [ ] A signed oath or declaration of the in	9. [ ] A signed oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).									
10. [ ] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).										
Items 11. to 16. below concern document(s) or in	oformation included:									
11. [ ] An Information Disclosure Statemen	at under 37 CFR 1.97 and 1.98, Form 1449, reference	erences.								
12. [ ] An assignment document for recordi	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. [ ] A FIRST preliminary amendment. [ ] A SECOND of SUBSEQUENT preliminary amendment.										
15. [ ] A change of power of attorney and/o										
16. [X] Other items or information: International Publication Page, Form PCT Request, Form PCT/ISA/210, Form PCT/IB/301, Form PCT/IB/304, Form PCT/IB/308, Supplementary Form PCT/IB/308, Form PCT/IB/332										

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
Unknown 10/55394	943 PCT/JP2004/005865			8279.974USWO		
BASIC NATIONAL FEE (37 CFR 1.492						
[X] a) Basic National fee			\$300.00	\$300.00		
[X] b) Examination fee			\$200.00	\$200.00		
[X] c) Search fee\$500.00				\$500.00		
TOTAL OF ABOVE CALCULATIONS =				\$1000.00		
Additional fee for specification and dra sequence listing or computer program I \$250 for each additional 50 sheets of p						
Total Sheets Extra Sheets	Number of each additional 50 or fraction thereof (round <b>up</b> to a whole number		Rate			
17 -100 = 0 /50 =			X \$250.00	\$0.00		
Surcharge of \$130.00 for furnishing the oat months from the earliest claimed priority da	] 30	S				
CLAIMS NUMBER FI	LED	NUMBER EXTRA	RATE			
Total claims 6	-20 =	0	X \$50.00	\$0.00		
Independent claims 1	-3 =	0	X \$200.00	\$0.00		
MULTIPLE DEPENDENT CLAIM(S) (if	applicabl	le)	+ \$360.00	\$		
TOTAL OF ABOVE CALCULATIONS =				\$1000.0		
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$500.00		
SUBTOTAL =				\$500.00		
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f).				\$		
TOTAL NATIONAL FEE =				\$500.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		
TOTAL FEES ENCLOSED =			\$			
				Amount to be: refunded	s	
				charged	\$	
a. [X] Check(s) in the amount of \$500.0	00 to cove	r the above fees is enclose	ed.			
				credit card listed on the enc	losed credit card	

authorization form.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR

1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Douglas P. Mueller

Hamre, Schumann, Mueller & Larson, P.C.

P.O. Box 2902-0902

Minneapolis, MN 55402

SIGNATURE: 0

NAME: Douglas P. Mueller

**REGISTRATION NUMBER: 30,300** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC12 Rec'd PCT/PTC 2 0 OCT 2005

Applicant:

ASADA et al.

Docket:

8279.974USWO

Title:

NOVEL SUBSTANCE HAVING ALPHA-GLUCOSIDASE INHIBITING ACTIVITY

AND FOOD CONTAINING THE SAME

**CERTIFICATE UNDER 37 CFR 1.10**:

"Express Mail" mailing label number: EV775294863US

Date of Deposit: 20 OCTOBER 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

Name: Yuhgo Maruta

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- National Stage PCT Patent Application: Spec. 15 pgs; Claims 2 pgs
  The fee has been calculated as shown below in the 'Claims as Filed' table.
- A check in the amount of \$500.00 to cover the Filing Fee
- Application Data Sheet, 4 pages.
- Other: International Publication Page, Form PCT Request, Form PCT/ISA/210, Form PCT/IB/301, Form PCT/IB/304, Form PCT/IB/308, Supplementary Form PCT/IB/308, Form PCT/IB/332, Form PTO-1390
- Applicant hereby claims Small Entity Status
- Return postcard

## **CLAIMS AS FILED**

Number of Claims Filed	No.	In Excess of	Extra	Rate		Fee
Total Claims	6	20	0	50.00	=	0.00
Independent Claims	1	3	0	200.00	=	0.00
Multiple Dependent Claims Fee					=	0.00
Basic Filing Fee					= }	150.00
Search Fee					=	250.00
Examination Fee					=	100.00
Utility Application Size Fee	17	100	0	250.00	=	0.00
Total					=	\$500.00

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402 612.455-3800

Name: Douglas P. Mueller

Reg. No.: 30/300 Initials: DPM/hjm

52835
PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)